



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: DIETER HELDMANN ET AL.
SERIAL NO.: 10/785,627 GROUP: 1626
FILED: FEBRUARY 24, 2004
TITLE: PROCESS FOR THE ELECTROPHILIC SUBSTITUTION OF
THIAZOLIDINES OR OXAZOLIDINES

SUBMISSION OF SECOND INFORMATION DISCLOSURE
STATEMENT OF DR. ROBERT FRÄNKEL

MAIL STOP : AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants wish to bring to the attention of the Patent Examiner the attached Information Disclosure Statement, duly signed by Dr. Robert Fränkel, and the references listed on the enclosed Form PTO-1449 and attached thereto. It is respectfully requested that the foregoing Information Disclosure Statement be incorporated into the official file of the present patent application.

Respectfully submitted,
DIETER HELDMANN ET AL.

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Encs: Information Disclosure Statement of Dr. Robert Fränkel, European Search Report,
PTO-1449 form and five (5) references

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on June 7, 2004.

Maria Guastella



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
HELDMANN ET AL. :
Serial Number 10/785,627 : Group Art Unit: 1626
Filed: February 24, 2004 :
For: PROCESS FOR THE ELECTROPHILIC SUBSTITUTION OF THIAZOLIDINES OR
OXAZOLIDINES

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir or Madam:

I, Robert Fränkel, associated with the preparation and prosecution of the above-identified application, residing at Krottenkopfstr. 10, 81377 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed European Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

Seebach et al., Helvetica Chimica Acta, 1987, vol. 70, pages 1194-1216, cited in the European Search Report, is already in the English language.


WO 01/72702, cited in the European Search Report, is already in the English language.

Moloney et al., Tetrahedron: Asymmetry, Elsevier Science Ltd., 1994, vol. 5, no. 8, pages 1477-1478, cited in the European Search Report, is already in the English language.

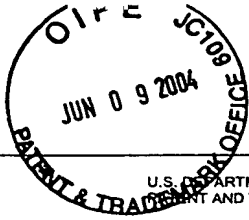
Moloney et al., Journal of the Chemical Society, Perkins Transactions I, 1996, pages 227-228, cited in the European Search Report, is already in the English language.

For EP 1 302 467, cited in the European Search Report, the corresponding US 2003/0088105 A1 is enclosed.

Signed this 24th day of May, 2004.



Dr. Robert Fränkel

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. HELDMANN ET AL

SERIAL NO. 10/785,627

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT Consortium für elektrochemische Industrie GmbH

Heldmann et al.

FILING DATE: Feb. 24, 2004

GROUP: 1626

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US 2003/0088105	5/8/2003	Krich et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	WO 01/72702	10/4/2001	PCT				
	AM							
	AN							
	AO							
	AP							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		Seebach et al., Helvetica Chimica Acta, 1987, vol. 70, no. 4, pages 1194-1216
	AS		Moloney et al., Tetrahedron: Asymmetry, Elsevier Science Ltd., 1994, vol. 5, no. 8, pages 1477-1478
	AT		Moloney et al., Journal of the Chemical Society, Perkin Transactions I, 1996, pages 227-228

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.